

It remains to show when  $k$  : a field,  $\mathcal{O} = k[\varepsilon]$ .

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$$\dim_k H^1(A, m\{\tau\}) = d$$

in the derived  
category

$$R\mathrm{Hom}_{A \otimes A}(A, m\{\tau\}) \simeq T_{A/\mathbb{F}_p}^L \otimes_{A \otimes A}^L m\{\tau\}[-1]$$

$$s.t. H^0, H^n = 0 \quad n \geq 2$$

$$\mathrm{Ext}^1(A, m\{\tau\})[-1]$$

: loc. free rank 1 / A

where  $T_{A/\mathbb{F}_p} = \mathrm{Hom}_A(\Omega^1_{A/\mathbb{F}_p}, A)$  : tangent module of A.  
(right A-module)

viewed as right  $A \otimes A$ -module via  $A \otimes A \rightarrow A$

$$\mathcal{O} = k[\varepsilon] \quad m = k \cdot \varepsilon, \quad m\{\tau\} \cong \underline{k\{\tau\}} \quad \text{as module.}$$

$$\mathrm{Def}_\varphi(k[\varepsilon]) = H^1(A, k\{\tau\}) \simeq T_{A/\mathbb{F}_p}^{(L)} \otimes_{A \otimes A}^L k\{\tau\}$$

$k\{\tau\}$  :  $(A, A)$ -module structure given by

$$a \cdot p \cdot b = \theta(a) \cdot p(\tau) \cdot \varphi(b)$$

$\Rightarrow$   $A \otimes A$ -module structure of  $k\{\tau\}$  coming from  $k \otimes_{\mathbb{F}_k} A$

$$\text{via } A \otimes A \xrightarrow{\theta \otimes \mathrm{id}_A} \underline{k \otimes A} \hookrightarrow k\{\tau\}$$

Lemma 5  $\varphi: A \rightarrow k\{z\}$  Drinfeld  $A$ -module of rank  $d$  /  $k$

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Let  $k\{z\} (= m\{z\})$  be the  $k \otimes_{\mathbb{F}_p} A$ -module def'd by

$(\alpha \otimes a)(P(z)) = \alpha \cdot P(z) \cdot \varphi(a)$ . Then  $k\{z\}$  is a locally free  $k \otimes_{\mathbb{F}_p} A$ -mod. of rank  $d$ .

$$(N \otimes_A M, \quad M = A/I\text{-module} \Rightarrow N/I_N \otimes_{A/I} M)$$

$$T_{A/\mathbb{F}_p} \bigotimes_A^L \left( \frac{A \otimes A}{J} \right) \bigotimes_{A \otimes A}^L k\{z\} = T_{A/\mathbb{F}_p} \bigotimes_{A \otimes A}^L k \otimes_{A \otimes A} k\{z\} \simeq k^d$$

$$A \otimes A \rightarrow A, \quad J = (a \otimes 1 - 1 \otimes a : a \in A)$$

$$A \otimes A/J \simeq A, \quad A \simeq A \otimes A/J$$

$$a \mapsto [a \otimes 1]$$

$$\frac{A \otimes A}{J} \otimes_{A \otimes A} k\{z\} = \frac{k \otimes A}{J'} \otimes_{k \otimes A} k\{z\}$$

$$k \otimes A \xrightarrow[\Theta_k]{\text{id} \otimes \Theta} k, \quad J' = \ker \Theta' \Rightarrow \frac{k \otimes A}{J'} \simeq k$$

$$= (\Theta(a) \otimes 1 - 1 \otimes a : a \in A)$$

$$\Theta_k : A_k = k \otimes_{\mathbb{F}_p} A \rightarrow k, \quad \alpha \mapsto \alpha \quad \alpha \in k$$

$$a \mapsto \Theta(a) \quad a \in A.$$

base change  $\Theta: A \rightarrow k$  over  $k$

$$\Rightarrow M_{\mathbb{I}}^d \rightarrow \operatorname{Spec} \mathbb{F}_p : \text{smooth of dim } d.$$

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$$(\text{H}) : M_{\mathbb{I}}^d \rightarrow \operatorname{Spec} A[\mathbb{I}], \quad \varphi : A \rightarrow k\{\tau\} : k\text{-point of } M_{\mathbb{I}}^d.$$

The tangent  
spaces at  $\varphi$

$$T_{A/\mathbb{F}_p} \otimes_{A \otimes A} k\{\tau\} \xrightarrow{\operatorname{id} \otimes \partial} T_{A/\mathbb{F}_p} \otimes_{A \otimes A} k = T_{A/\mathbb{F}_p} \otimes_{A, \theta} k$$

$$k\{\tau\} \xrightarrow{\partial} k$$

$$\Rightarrow (\text{H}) : \text{smooth morphism of rel. dim } d-1.$$

□

Proof of Lemma 5.

Choose  $a \neq 0 \in A$ ,  $\mathbb{F}_p[a] = \text{polynomial ring}$ .

$A \supset \mathbb{F}_p[a] : A : \text{torsion-free, finite } \mathbb{F}_p[a] \text{-module}$

: free  $\mathbb{F}_p[a]$ -module of rank  $\deg_{\mathbb{F}_p} a (= \dim_{\mathbb{F}_p} A/(a))$

(if  $A \simeq \mathbb{F}_p[a]^r$ ,  $A/(a) \simeq \mathbb{F}_p^r \Rightarrow r = \dim_{\mathbb{F}_p} A/(a)$ ).

$\varphi(a) \in k\{\tau\}$ .  $\forall P(\tau) \in k\{\tau\}$  using Euclidean algorithm

$$P(\tau) = P_1(\tau) \varphi(a) + R_1(\tau)$$

$$P_1(\tau) = P_2(\tau) \varphi(a) + R_2(\tau)$$

;

$$\mathbb{F}_p[a] = \mathbb{F}_p \langle 1, a, a^2, \dots \rangle$$

$$\varphi^m(a) = \varphi(a^m)$$

where  $\deg R_m(\tau) < \deg \varphi(a) = d \cdot \deg_{\mathbb{F}_p} a$

$$\text{So } P(\tau) = \sum_{m \geq 0} \sum_{n=0}^{d \cdot \deg_{\mathbb{F}_p}(a)-1} a_{m,n} \tau^n \cdot \varphi^m(a), \quad \left\{ 1, \tau, \dots, \tau^{d \cdot \deg_{\mathbb{F}_p}(a)-1} \right\}$$

So  $k\{\tau\} : \text{a free } k \otimes \mathbb{F}_p[a] \text{-module rank } 1, \tau, \dots, \tau^{d \cdot \deg_{\mathbb{F}_p}(a)-1}$

$k\{\tau\}$  as  $k \otimes A$ -module

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If  $k \otimes A$  : integral domain  $\Rightarrow$  Dedekind domain.

$k\{\tau\}$  : torsion-free  $k \otimes A$ -module.

then  $k\{\tau\}$  : loc. free of rank  $\frac{d \cdot \deg_{\mathbb{F}_p}(a)}{\deg_{\mathbb{F}_p}(a)} = d$ .

Otherwise : let  $\mathbb{F}_{p^r} \subset A$  the constant subfield  $\subset k$

$$k \otimes_{\mathbb{F}_p} \mathbb{F}_{p^r} \simeq \prod_{i=0}^{r-1} k \quad \alpha \otimes a \mapsto (\alpha \cdot \theta(a)^{p^i})$$

$$k \otimes_{\mathbb{F}_p} A = (k \otimes_{\mathbb{F}_p} \mathbb{F}_{p^r}) \otimes_{\mathbb{F}_{p^r}} A \simeq \prod_{i=0}^{r-1} (k \otimes_{\theta^i \mathbb{F}_{p^r}} A)$$

$$k\{\tau\} \simeq \bigoplus_{i=0}^{r-1} k\{\tau^r\} \cdot \tau^i$$

Each  $k\{\tau^r\} \tau^i$  : locally free of rank  $d$  /  $k \otimes_{\theta^i \mathbb{F}_{p^r}} A$

$\Rightarrow k\{\tau\}$  :  $\simeq$  of rank  $d$  /  $k \otimes_{\mathbb{F}_p} A$



# (IV) Endomorphism algebras of Drinfeld modules.

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## End( $G_{a,k}$ ) :

$$k : \text{field} \supset \mathbb{F}_p, \quad G_{a/k} \quad \text{End}(G_a) = k\{\tau\} \quad \tau(t) = t^p \quad (\tau = \tau_p)$$

$$G_a \xrightarrow{u} G_a \xrightarrow{w} G_a, \quad G = \ker u$$

$\underbrace{\hspace{10em}}_v$

$$v(G) = 0 \quad (\Leftrightarrow G \subseteq \ker v) \quad \Leftrightarrow v = w \circ u \quad \left\{ \begin{array}{l} \text{i.e. } v \text{ is right divisible} \\ \text{by } u \end{array} \right.$$

$$0 \rightarrow G^\circ \rightarrow G \rightarrow G^{\text{et}} \rightarrow 0$$

**Thm (Cartier)** Every group scheme of finite type over a field of char 0 is reduced.

$$u = (a_0 + a_1 \tau + \dots + a_n \tau^n) \cdot t^h, \quad a_0 \neq 0.$$

$\underbrace{\hspace{10em}}_{u^{\text{et}}}$

$$G^\circ = \ker \tau^h = \text{Spec } k[t]/(t^{p^h}),$$

$$G^{\text{et}} = \ker k[\tau]/(a_0 \tau + a_1 \tau^p + \dots + a_n \tau^{p^n}), \quad G = \text{Spec } k[t]/u(t)$$

$\underbrace{\hspace{10em}}_{u^{\text{et}}(\tau)}$

$$\frac{k[t]}{u(t)} \leftarrow \frac{k[\tau]}{u^{\text{et}}(\tau)} \quad t^{p^h} \leftarrow \tau$$

**Lemma 1**  $G \subset G_{a/k}$  finite  $k$ -subgroup scheme. Then  $\exists u \in k\{\tau\}$  (41)

st  $G = \ker u$ . Moreover, if  $v \in k\{\tau\}$ ,  $G \subseteq \ker v$ . then  $\exists w \in k\{\tau\}$   
st  $v = w \circ u$ .

Pf:  $G = \text{Spec } k[t]/f(t)$ .  $f(t)$ : monic poly, of deg  $n$ .  $t_1 = t \otimes 1$   
 $t_2 = 1 \otimes t$

$$\Delta: k[t]/f(t) \rightarrow k[t]/f(t) \otimes k[t]/f(t) = k[t_1, t_2]/(f(t_1), f(t_2))$$

$$\Delta(f(t)) = f(t_1 + t_2) \in (f(t_1), f(t_2))$$

$$f(t_1 + t_2) = f(t_1)P(t_1, t_2) + f(t_2)Q(t_1, t_2)$$

We may assume that  $P, Q$  are minimal tot. degree, say of deg  $r$ .

Want to show  $r = 0$ . Suppose  $r > 0$ .

$$P = \sum A_i, \quad Q = \sum B_i, \quad A_i, B_i: \text{homog poly of deg } i.$$

$$\text{top: } t_1^n \cdot A_r + t_2^n \cdot B_r \Rightarrow t_2^n \mid A_r, \quad t_1^n \mid B_r$$

$$A_r = t_2^n \cdot A', \quad B_r = t_1^n \cdot (-A')$$

$$\text{Put } P' = P - f(t_2)A', \quad Q' = Q + f(t_1)A' \quad \deg Q' < \deg Q$$

$$f(t_1) \cdot P + f(t_2)Q = f(t_1) \cdot P' + f(t_2)Q', \quad \deg P' < \deg P$$

$$\Rightarrow P = a, \quad Q = b, \quad a, b \in k, \quad f(t_1 + t_2) = f(t_1)a + f(t_2)b$$

$$\deg n: \quad (t_1 + t_2)^n = a t_1^n + b t_2^n \Rightarrow a = b = 1.$$

$$f: \text{additive. } \exists u \in k\{\tau\}, \quad u(t) = f(t).$$

□

**Lemma 2.**  $\varphi, \varphi' : \text{Drinfeld } A\text{-modules} / k$ .  $u: \varphi \rightarrow \varphi'$ : isogeny (42)

Then  $\exists a \neq 0 \in A$ , and an isogeny  $u': \varphi' \rightarrow \varphi$  st.  $u' \circ u = \varphi_a$ .

Pf:  $G = \ker u$ , Claim  $\exists a \neq 0 \in A$  st.  $\varphi_a(G) = 0$

$G^{\text{et}}(\bar{k})$ : finite  $A$ -module.  $\exists a_1 \in A$  st.  $\varphi_{a_1}(G^{\text{et}}(\bar{k})) = 0$   
 $\varphi_{a_1}(G^{\text{et}}) = 0$

If  $G^\circ \neq \{0\}$ , then  $k$  has finite  $\text{char}_A k = v$ .

Choose  $a_2$ ,  $v(a_2)$ : big enough.  $\Rightarrow \varphi_{a_2}(G^\circ) = 0$

Let  $a = a_1 a_2$ ,  $\varphi_a(G) = 0$ .

By Lemma 1,  $\exists u' \in \text{End}(G_a)$ .  $u' \circ u = \varphi_a$

$b \in A$ .  $\varphi_b \circ (u' \circ u) = u' \circ u \circ \varphi_b = u' \circ \varphi_b \circ u$   
 $\varphi_b \circ u' = u' \circ \varphi_b$   $u'$ : isogeny.

$\varphi$ : Drinfeld  $A$ -module of rank  $d$  /  $k$ .  $\varphi: A \hookrightarrow \text{End}(G_a)$   
 $\text{End}(\varphi) = \text{End}_A(G_a)$ ,  $\text{End}^\circ(\varphi) := F \otimes_A \text{End}(\varphi)$

$A \subseteq \mathbb{Z}(\text{End}(\varphi))$ , endomorphism algebra of  $\varphi$  /  $k$ .

$$\varphi_a = \sum_{i=0}^{d \cdot \deg(a)} \varphi_{a,i} \tau^i \quad (\tau = \tau_\varphi)$$

$$\deg(\varphi_a) = d \cdot \deg a.$$

$$\deg: \text{End}^\circ(\varphi) \rightarrow \mathbb{Z} \cup \{-\infty\}$$

$$\deg\left(\frac{a}{b}\right) := \deg(a) - \deg(b) \quad (43)$$

$$\|\cdot\|: \text{End}^\circ(\varphi) \rightarrow \mathbb{R}$$

$$\deg(0) = -\infty$$

$$\|u\| = q^{\deg(u)/d}$$

$$\deg(f+g) \leq \max\{\deg f, \deg g\}$$

$$\text{Note } a \in A \quad \|a\| = q^{\deg(\varphi_a)/d} = q^{\deg(a)} = q^{-\deg(\infty) \infty(a)} = q_{\infty}^{-\infty(a)}$$

$$= |a|_{\infty}$$

completion  $\rightarrow F_{\infty}$

$$q_{\infty} = \# k(\infty)$$

Then:  $\forall u, v \in \text{End}^\circ(\varphi), a \in F$ .

$$(i) \|u\| \geq 0 \text{ \& } \|u\| = 0 \Leftrightarrow u = 0$$

$$(ii) \|a \cdot u\| = |a|_{\infty} \|u\|$$

$$(iii) \|u+v\| \leq \max\{\|u\|, \|v\|\}$$

$$(iv) \|u \cdot v\| = \|u\| \cdot \|v\|$$

$\|\cdot\|$  : norm.

Prop 3:  $\text{End}(\varphi)$ : fin. gen. projective  $A$ -module of rank  $\leq d^2$ .

and  $\text{End}^\circ(\varphi) \otimes_F F_{\infty}$ : division algebra /  $F_{\infty}$  (definite at  $\infty$ )

Pf: (i) & (ii)  $\Rightarrow \text{End}(\varphi)$ : torsion-free.

Let  $V \subset \text{End}^\circ(\varphi)$ : a finite-dim  $F$ -subspace.

$$(V, \|\cdot\|) \xrightarrow{\text{completion}} (F_{\infty} \otimes_F V, \|\cdot\|) \quad \|\cdot\|: \text{a norm}$$

$\| \cdot \|_{F_{\infty} \otimes_F V}$   
 $V_{\infty}$

Note  $\text{End}(\varphi)$  has bounded norm.

$$\Lambda = V \cap \text{End}(\varphi) \quad \Lambda = V_\infty \cap \text{End}(\varphi) \subset V_\infty : \text{discrete.} \quad (44)$$

$\Rightarrow \Lambda$ : fin. gen., torsion-torsion,  $\Rightarrow$  projective of finite rank.

Let  $a \neq 0 \in A$ : prime to  $\text{char}_A k$ .

$$f \in \Lambda, \quad f: \varphi[a](\bar{k}) \rightarrow \varphi[a](\bar{k})$$

$\parallel$   
 $(A/a)^d$ .

$$A/a \otimes \Lambda \longrightarrow \text{End}_{A/a}(\varphi[a](\bar{k})) \simeq \text{Mat}_d(A/a)$$

Injective:  $f(\varphi[a](\bar{k})) = 0 \Rightarrow f = \varphi_a \circ f'$

$\parallel$   
 $\ker(\varphi_a)$

$$f = 0 \quad \text{in } A/a \otimes \Lambda.$$

$A/a \otimes \Lambda$  free  $A/a$ -module of rank  $\leq d^2$

$\Lambda$ : of rank  $\leq d^2$

$\text{End}^\circ(\varphi)$ : fin dim'l of  $\dim \leq d^2$ .

$\text{End}(\varphi)$ : f.g. proj  $A$ -module of rank  $\leq d^2$ .

$$(\text{End}^\circ(\varphi), \|\cdot\|) \xrightarrow{\text{completion}} (F_\infty \otimes \text{End}^\circ(\varphi), \|\cdot\|)$$

with (i) - (iv)  $u \neq 0, v \neq 0$

$F_\infty \otimes \text{End}^\circ(\varphi)$ : division alg /  $F_\infty$

$\Rightarrow u \cdot v \neq 0$

(not product of simple factors)

$\Rightarrow \|\cdot\|$  = the unique ext'n of  $\|\cdot\|_\infty$  on  $F$ .